

Concept Note

Section 1: Overview of response																																																																																																		
Project Title	Kenya : Floods Emergency Response and Early Recovery Project																																																																																																	
Location	Kenya Tana River, Garissa (Dadaab refugee camp), Baringo, Kisumu, Homa Bay, Siaya and Turkana (Kakuma refugee Camp) Counties																																																																																																	
Project start date	1 June 2018																																																																																																	
Duration of project	12 (months)																																																																																																	
Budget (USD)	1,875,513.86 (USD)																																																																																																	
Sector(s)	<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Shelter / NFIs <input checked="" type="checkbox"/> Health / Nutrition <input checked="" type="checkbox"/> WASH <input checked="" type="checkbox"/> Early recovery / Livelihoods </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Food Security <input checked="" type="checkbox"/> Protection/Psychosocial <input type="checkbox"/> Education <input checked="" type="checkbox"/> Unconditional Cash </div> </div>																																																																																																	
Forum	ACT Kenya Forum																																																																																																	
Requesting members	Anglican Church of Kenya, Church World Service, Lutheran World Federation, and National Council of Churches of Kenya (NCCCK)																																																																																																	
Local partners	Anglican Development Services (Nyanza, Central Rift and Pwani Regions) and NCCCK Nyanza Region.																																																																																																	
Impact (overall objective)	Provide life-saving assistance to households affected by the flood emergency and support them recover from the impact of floods.																																																																																																	
Target beneficiaries	<p>Those who are displaced and living in camps. Priority will be given to those with vulnerability like pregnant and lactating, under five and those living with disabilities.</p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th rowspan="3"></th> <th colspan="10">Target Population</th> </tr> <tr> <th colspan="2">0 - 5 yrs</th> <th colspan="2">6 - 18 yrs</th> <th colspan="2">19 - 65 yrs</th> <th colspan="2">above 65 yrs</th> <th colspan="2">Total</th> </tr> <tr> <th>M</th> <th>F</th> <th>M</th> <th>F</th> <th>M</th> <th>F</th> <th>M</th> <th>F</th> <th>M</th> <th>F</th> </tr> </thead> <tbody> <tr> <td>ACK</td> <td>490</td> <td>595</td> <td>2,281</td> <td>2,545</td> <td>3,849</td> <td>4,010</td> <td>300</td> <td>510</td> <td>6,920</td> <td>7,660</td> </tr> <tr> <td>CWS</td> <td>369</td> <td>452</td> <td>564</td> <td>580</td> <td>586</td> <td>601</td> <td>96</td> <td>139</td> <td>1,615</td> <td>1,772</td> </tr> <tr> <td>LWF</td> <td>800</td> <td>1,200</td> <td>2,100</td> <td>2,300</td> <td>1,900</td> <td>1,905</td> <td>211</td> <td>324</td> <td>5,011</td> <td>5,729</td> </tr> <tr> <td>NCCCK</td> <td>119</td> <td>234</td> <td>501</td> <td>751</td> <td>1,155</td> <td>1,104</td> <td>935</td> <td>1,201</td> <td>2,701</td> <td>3,290</td> </tr> <tr> <td>Total</td> <td>1,778</td> <td>2,481</td> <td>5,446</td> <td>6,176</td> <td>7,490</td> <td>7,620</td> <td>1,542</td> <td>2,174</td> <td>16,247</td> <td>18,451</td> </tr> <tr> <td>Total</td> <td colspan="8"></td> <td colspan="2">34,698</td> </tr> </tbody> </table>		Target Population										0 - 5 yrs		6 - 18 yrs		19 - 65 yrs		above 65 yrs		Total		M	F	M	F	M	F	M	F	M	F	ACK	490	595	2,281	2,545	3,849	4,010	300	510	6,920	7,660	CWS	369	452	564	580	586	601	96	139	1,615	1,772	LWF	800	1,200	2,100	2,300	1,900	1,905	211	324	5,011	5,729	NCCCK	119	234	501	751	1,155	1,104	935	1,201	2,701	3,290	Total	1,778	2,481	5,446	6,176	7,490	7,620	1,542	2,174	16,247	18,451	Total									34,698	
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Expected outcomes	<ul style="list-style-type: none"> i. Improved access to safe and clean water for domestic and agricultural use. ii. Improved sanitation and hygiene amongst affected communities and schools. iii. Improved food security to communities living in camps affected by floods. iv. Improved access to quality life-saving assistance for affected households through the distribution of emergency NFIs v. Improved access to protection and psychosocial support services to the affected communities vi. Improved livestock access to feed for increased productivity 																																																																																																	

	<ul style="list-style-type: none"> vii. Enhanced early recovery from the impacts of floods to the affected communities. viii. Enhanced preparedness and mitigation measures for flood disasters
Expected outputs	<ul style="list-style-type: none"> i. Water trucking services offered to affected communities in camps for domestic use. ii. WASH related NFIs (Hygiene pack, water treatment tabs, and water storage containers) procured and distributed in camps. iii. Food items provided to displaced households living in camps. iv. Non-Food Items procured and distributed (Kitchen sets, Blankets) to affected HHs in camps. v. Professional counsellors recruited to reach affected individuals in camps. vi. Medical services provided to affected communities in camps vii. Transport provided to bedridden clients to enable them access rehabilitation centers from camp sites. viii. Psychosocial support to the affected people through professional counsellors in camps. ix. Group therapy sessions in camps conducted for children affected by floods x. Psychosocial training for trauma healing held for faith leaders, community leaders, and an additional women and youth leaders in camps. xi. Agricultural production re-build and diversification done once resettled. xii. Hay Harvesting and Fodder Production rolled out again once settled. xiii. Unconditional cash grant to given to the affected households once resettled. xiv. Physical infrastructure rehabilitated (School buildings, shelter, water pan, broken hand pumps and water points). xv. Cut-off drains and pit latrines constructed for schools that were flooded. xvi. Businesses and start-ups restored once resettled. xvii. Community mobilization conducted on Treatment of livestock diseases. xviii. Conflict management and resolution sessions conducted to the affected communities xix. Community managed DRR conducted once resettled. xx. Awareness creation done on flood safety and environmental conservation once resettled. xxi. Mapping of stakeholders on flood mitigation and preparedness done xxii. Stakeholders meeting conducted to develop strategies on flood mitigation and preparedness.
Main activities	<p>Emergency response to those in camps.</p> <ul style="list-style-type: none"> i. NFIs: Procure and distribute NFIs (Kitchen sets, Blankets) for the affected HHs. ii. Provision of food items: Procure and distribute food items to displaced households. iii. Protection and psychosocial support: Recruitment and contracting of professional counsellors; Offer spiritual and psychosocial support to the affected people through professional counsellors; Conduct group therapy sessions for children affected by floods; and conduct Psychosocial training for trauma healing for faith leaders, community leaders, and an additional women and youth leaders. iv. Unconditional cash grant: Transfer an unconditional cash grant to affected households. v. Water, sanitation and hygiene: Water trucking to affected villages; and Procure and distribute WASH related NFIs (Hygiene pack, water treatment tabs, water storage containers).

	<ul style="list-style-type: none"> vi. Health: Procure medical consumables (pain relief cream, massage oil gloves protective gears etc); and provide transport to bedridden clients to enable them access rehabilitation centers. <p>Early recovery/ livelihoods</p> <ul style="list-style-type: none"> i. Conduct Rapid Assessment to determine early recovery needs ii. Rehabilitation and construction: Rehabilitate: physical infrastructure (School buildings, shelter, water pan, broken hand pumps and water points); and Construction of cut-off drains and pit latrines for schools. iii. Livelihood: (i) Business Restoration and Start-up and rebuilding of agricultural production sites and diversifying diets; (ii) Promote Hay Harvesting and Fodder Production; Conduct community mobilization and Treatment of livestock diseases; and support and facilitation of veterinary services extension team iv. Conflict management: Conduct conflict resolution sessions to the affected community <p>Emergency preparedness:</p> <ul style="list-style-type: none"> i. Awareness creation on flood safety and environmental conservation to persons residing along river banks and low-lying flood prone areas ii. Mapping of stakeholders on flood mitigation and preparedness iii. Conduct two stakeholders meeting to develop strategies on flood mitigation and preparedness. <p>Cross cutting activities</p> <ul style="list-style-type: none"> i. Formation and training committees on beneficiary identification. ii. Beneficiary selection and registration. iii. Enhance collaboration and coordination with other agencies. iv. Monitoring of the project.
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Section 2: Narrative Summary

Background

Riverine and flash floods have been experienced in several locations in Kenya since March 2018 following rains that have been about four times above the normal average for the 'Long Rains Season'. According to the Kenya Flood Response Update by UNICEF dated 19 May 2018, at least 332,000 people have been displaced by the floods and 183 people killed due to extended floods. The update cites that the affected counties are Garissa (including Dadaab Refugee Camps), Tana River, Wajir, Turkana (including Kakuma refugee camp and Kalobeyei settlement), Mandera, Kilifi, Homa Bay, Siaya, Kisumu, Busia, Taita Taveta, Baringo, Nakuru, Kitui, Nandi, Makueni, Narok, Marsabit, Kajiado and Isiolo. According to the Kenya Meteorological Department, the rains will continue to the second half of the year posing a risk of continued flooding in the flood-prone areas.

The floods and heavy rainfall has caused significant damage to community and school infrastructure in flood-affected counties, including destruction of shelter, teaching and learning materials, flooded/marooned classrooms, sunken/collapsed latrines, loss of food stocks, destruction of roads. More than 280 schools have been affected or damaged by flooding. Moreover, there is a heightened risk of an outbreak of water-borne and severe respiratory infections.

The floods have led to the erosion of the livelihood options for the affected population. This is either in loss of livelihood assets or disruption of livelihood activities. The loss of assets, the displacement conditions and the helplessness has led to trauma in the displaced population living in camps.

Humanitarian Needs	Capacity to Respond
<p>The population displaced into camps are in a dire situation. The population lacks decent shelter, have limited access to water, sanitation and hygiene services, cannot access adequate food and lack household and personal non-food items. The camp environment heightens trauma and predisposes women and girls to abuse. Routine socio-economic activities such as learning, market activities and farming have been disrupted.</p> <p>The floodwaters may take up to three months to subside while the displaced population may have to up to early 2019 to be able to produce their own food. This means that the displaced population needs assistance both while in the camps and to recover from the effects of the floods.</p> <p>The current interventions are one-off and do not cover the comprehensive needs of the displaced population.</p>	<p>The requesting members from Kenya ACT forum include Anglican Church of Kenya, Church World Service, Lutheran World Federation and National Council of Churches of Kenya who are present in targeted affected counties and have ongoing programmes in advocacy and long-term development work.</p> <p>The four members have experience and have responded to previous emergencies such as refugee influx, internal displacement, drought, floods and conflict. Through this, the members have skills, experience and have built relationships with community and other stakeholders.</p> <p>Two of the requesting members are national NGOs with grassroots presence. The requesting members are active in the coordination structures both at national and sub-national levels.</p> <p>Currently, requesting members have conducted internal assessment of the situation, and coordinating with other humanitarian actors in preparing for response.</p>
<p>Proposed response</p> <p><i>Does the proposed response honour ACT's commitment to Child Safeguarding?</i> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>The population needs assistance in meeting their current needs while living in displaced (temporary camps). They also need assistance during the projects, recovery phase through rebuilding back eroded livelihoods and building their resilience against future flood risks.</p> <p>The proposed intervention targets 6,245 HHs (34,698 individuals) affected by floods in Tana River, Garissa (Dadaab refugee camps), Baringo, Turkana (Kakuma refugee camp), Homa Bay, Siaya and Kisumu counties.</p> <p>Immediate assistance: As an emergency response, the proposed interventions aim to provide life-saving assistance to households affected by the floods by improving access to WASH services, improving household food security, improving access to basic household items and services, improving access to protection and psychosocial support services and improving livestock health.</p> <p>The proposed activities include water trucking, procurement and distribution of WASH-related NFIs, provision of food items, distribution of emergency NFIs, provision of professional counselling to adult persons, group therapy to children, conducting medical camps among the affected communities, facilitation of transport for bedridden persons, training of community leaders on trauma healing and psychosocial support and provision of unconditional cash grants to enable the affected communities to meet their subsistence needs.</p>	

Medium Term Assistance: In the medium-term, the proposed interventions aim at supporting affected communities recover from the impact of floods. The proposed interventions include improving agriculture-based livelihoods through enhanced livestock disease surveillance, enhanced production of fodder and hay harvesting and supporting affected communities with certified seeds and other farm inputs. Physical infrastructure that was destroyed by the floods such as school buildings, household shelters and water structures shall be rehabilitated. Other activities aimed at restoring livelihoods include supporting community groups in restarting their enterprises. In one of the project sites, there is proposed conflict management and resolution sessions to promote peaceful coexistence among the communities displaced by the floods.

This proposed intervention also aims to build the resilience of communities living in flood-prone areas against future flood risks. This shall be done through awareness creation among the communities on flood safety and environmental conservation and advocacy with duty bearers such as national and county government departments on flood mitigation and preparedness. Proposed activities include the mass sensitization campaigns among communities residing in flood-prone areas, and development and follow-up on the implementation of the advocacy plan and conducting of stakeholder's meetings.

Coordination

Each of the requesting members will be responsible for implementing their respective proposed activities. In Tana river where more than one requesting member is proposing to respond in the same county, the two members shall harmonize their activities and targeting to ensure that there's no duplication. At forum level, the requesting members shall brief the forum monthly of their activities through ACT Kenya Forum Emergency Working Group.

In the respective target counties, the requesting members will plug into various coordination structures such as County Steering Groups. The areas of coordination include joint assessments, information sharing and coordination of targeting of affected households. At national level, some of the requesting members are members of coordination structures such as Inter-Agency Working Group (IAWG), Kenya Food Security Steering Group (KFSSG), and Water and Environmental Sanitation Coordination Mechanism (WESCOORD). In these structures, the members will share and receive information such as assessment reports. Both at national and sub-national levels, the requesting members will coordinate with both national and county government departments.

In the implementation of the proposed interventions, the requesting members will accord ACT Alliance and their organizations reasonable visibility in line with the ACT Branding Policy and Communications Policy. Various channels shall be used in communication. This include media stories that shall be posted on the members' websites, and situation reports to be sent to the forum, and ACT Alliance. The requesting members shall leverage on their strong advocacy niche in Kenya to advocate for more relevant and timely assistance to those affected by the floods by government and NGOs as well as advocate for preparedness and mitigation against floods.

Basic implementation plan

DETAILED IMPLEMENTATION PLAN																	
PROJECT NAME: Kenya Floods Emergency Response and Early Recovery																	
PROJECT PERIOD: June 2018 - May 2019																	
DETAILED WORKPLAN						SCHEDULE											
						June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May
A. Emergency Response																	
A.1.1. Provide Water trucking services to affected villages.						X	X	X									
A.1.2 Provide water tanks to schools						X	X	X									
B.1.1 Provide WASH related NFIs (Hygiene pack, water treatment tabs, water storage containers) to the affected communities.						X	X	X									
C.1.1. Provide food items to displaced households.						X	X	X	X	X	X	X	X				
D.1.1 Procure and distribute NFIs (Kitchen sets, Blankets) to the affected HHs.						X	X	X									
E.1.1 Recruit professional counselors						X	X	X	X	X	X						
E.2.1 Provide Medical consumables to the affected communities.						X	X	X									
E.3.1 Transport the bedridden clients to enable them access rehabilitation centers.						X	X	X									
E.4.1 Offer Spiritual and psychosocial to the affected people through professional counselors						X	X	X	X	X	X						
E.5.1 Group therapy sessions conducted for children affected by floods						X	X	X	X	X	X						
E.6.1 Offer Psychosocial training for trauma healing held for faith leaders, community leaders, and an additional women and youth leaders.						X	X	X									
G.1.1 Provide Unconditional cash grant to the affected households.						X	X	X									
B. Early recovery																	
F.1.1 Rebuild Agricultural production, nutritious vegetables									X	X	X	X	X	X	X	X	X
F.2.1 Roll out Hay Harvesting and Fodder Production.									X	X	X	X	X	X	X	X	X
G.2.1 Rehabilitate Physical infrastructure (School buildings, shelter, water pan, broken hand pumps and water points)									X	X	X	X					
G.3.1 Construct Cut-off drains and pit latrines for schools.									X	X	X	X					
G.4.1 Restore Businesses and start-ups									X	X	X	X	X	X	X	X	X
G.5.1 Conduct Community mobilization on Treatment of livestock disease						X	X	X	X	X	X	X	X				
G.6.1 Hold Conflict management and resolution sessions to the affected community						X	X	X	X	X	X	X	X				
C. Emergency Preparedness/Resilience																	
H.1.1 Create awareness creation on flood safety and environmental conservation									X	X	X	X	X	X	X	X	X
H.2.1 Map stakeholders on flood mitigation and preparedness done									X	X							
H.3.1 Hold Stakeholders meeting to develop strategies on flood mitigation and preparedness.								X	X				X	X			
D. Cross-cutting Activities																	
Formation and training committees on beneficiary identification.						X	X										
Beneficiary selection and registration.						X	X	X									
Enhance collaboration and coordination with other agencies.						X	X	X	X	X	X	X	X	X	X	X	X
Monitoring of the project.						X	X	X	X	X	X	X	X	X	X	X	X

Monitoring and evaluation

Monitoring will be done at two levels: within the requesting member and at the forum level. Each requesting member will utilize their field teams or those of their local partners to collect data on the proposed interventions. This will be in liaison with the respective community structures such as the Community Managed DRR chairpersons and the County Coordinating Committees. The respective head of the field offices will offer strategic support to the field teams and will be responsible for compiling and submission of reports to the respective national offices. The respective national offices will conduct monitoring visits to the respective project locations. At the forum level, the Emergency Working Group shall arrange a joint monitoring visit to all the project sites.

Section 3: Budget Summary				
ACT APPEAL BUDGET FORMAT				
INCOME - Received by Requesting Member via ACT Secretariat, Geneva				
Date	Donor Name	Donor code	Payment advice #	
TOTAL INCOME			0.00	0.00
EXPENDITURE				
			Appeal Budget	Appeal Budget
			KES	USD
DIRECT COSTS				
1 PROGRAM STAFF				
Total international program staff			720,000	7,200
Total national program staff			11,948,752	119,488
TOTAL PROGRAM STAFF			12,668,752	126,688
2 PROGRAM ACTIVITIES				
2.1.	Shelter and settlement / Non-food items		27,512,600	275,126
2.2.	Food security		16,190,000	161,900
2.3.	Water, sanitation & hygiene (WASH)		11,311,892	113,119
2.4.	Health / Nutrition		8,400,000	84,000
2.5.	Protection / Psychosocial support		7,065,200	70,652
2.6.	Early recovery & livelihood restoration		31,725,000	317,250
2.7.	Education		6,250,000	62,500
2.8.	Emergency Preparedness / Resilience		950,000	9,500
2.9.	Unconditional CASH grants		20,750,000	207,500
TOTAL PROGRAM ACTIVITIES			130,154,692	1,301,547
3 PROGRAM IMPLEMENTATION				
TOTAL PROGRAM IMPLEMENTATION			6,304,810	63,048
4 PROGRAM LOGISTICS				
Transport (of relief materials)			8,340,000	83,400
Warehousing			336,000	3,360
Handling			5,704,976	57,050
TOTAL PROGRAM LOGISTICS			14,380,976	143,810
5 PROGRAM ASSETS & EQUIPMENT				
TOTAL PROGRAM ASSETS & EQUIPMENT			1,155,000	11,550
6 OTHER PROGRAM COSTS				
6.1.	SECURITY			
TOTAL SECURITY			2,400,000	24,000
6.2.	FORUM COORDINATION			
TOTAL FORUM COORDINATION			2,027,326	20,273
6.3.	STRENGTHENING CAPACITIES			
TOTAL STRENGTHENING CAPACITIES			730,000	7,300
TOTAL DIRECT COST			169,821,556	1,698,216
INDIRECT COSTS: PERSONNEL, ADMINISTRATION & SUPPORT				
TOTAL INDIRECT COST: PERSONNEL, ADMIN. & SUPPORT			12,267,168	122,672
			7%	7%
TOTAL EXPENDITURE exclusive International Coordination Fee			182,088,724	1,820,887
INTERNATIONAL COORDINATION FEE (ICF) - 3%			5,462,661.73	54,626.62
TOTAL EXPENDITURE inclusive International Coordination Fee			187,551,386.05	1,875,513.86
BALANCE REQUESTED (minus available income)			187,551,386.05	1,875,513.86
PROPOSED DISPOSITION OF CAPITAL ASSETS at Completion date				
ITEM - (List each over US\$500)		Actual cost	Disposition	

SECRETARIAT: 150, route de Ferney, P.O. Box 2100, 1211 Geneva 2, Switz. TEL.: +4122 791 6434 – FAX: +4122 791 6506 – www.actalliance.org

Core Humanitarian STANDARD The ACT Alliance Secretariat's continuous improvement in the application of the Core Humanitarian Standard is independently verified by HQAI

Please kindly send your contributions to either of the following ACT bank accounts:

US dollar

Account Number - 240-432629.60A
IBAN No: CH46 0024 0240 4326 2960A

Euro

Euro Bank Account Number - 240-432629.50Z
IBAN No: CH84 0024 0240 4326 2950Z

Account Name: ACT Alliance

UBS AG
8, rue du Rhône
P.O. Box 2600
1211 Geneva 4, SWITZERLAND
Swift address: UBSWCHZH80A

Please note that as part of the revised ACT Humanitarian Mechanism, pledges/contributions are **encouraged** to be made through the consolidated budget of the country forum, and allocations will be made based on agreed criteria of the forum. For any possible earmarking, budget targets per member can be found in the “Summary Table” Annex, and detailed budgets per member are available upon request from the ACT Secretariat. For pledges/contributions, please refer to the spreadsheet accessible through this link <http://reports.actalliance.org/>. The ACT spreadsheet provides an overview of existing pledges/contributions and associated earmarking for the appeal.

Please inform the Head of Finance and Administration, Line Hempel (Line.Hempel@actalliance.org) and Senior Finance Officer, Lorenzo Correa (Lorenzo.Correa@actalliance.org) with a copy to Gezahegn Gebrehana (Gezahegn.Gebrehana@actalliance.org) of all pledges/contributions and transfers, including funds sent direct to the requesting members.

We would appreciate being informed of any intent to submit applications for EU, USAID and/or other back donor funding and the subsequent results. We thank you in advance for your kind cooperation.

For further information, please contact:

ACT Regional Representative, Gezahegn K. Gebrehana (Gezahegn.Gebrehana@actalliance.org)
Humanitarian Programme Officer Africa, Caroline Njogu (Caroline.Njogu@actalliance.org)
ACT Web Site address: <http://www.actalliance.org>

Alwynn Javier

Global Humanitarian Coordinator
ACT Alliance Geneva